PTO/SB/21 (09-04)
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## Application Number 10/666,642 **TRANSMITTAL** Filing Date Sept. 18, 2003 **FORM** First Named Inventor JIANG, Cai-Zhong Art Unit 1638 **Examiner Name** BAUM, Stuart F (to be used for all correspondence after initial filing) Attorney Docket Number 603 MBI-0054 US Total Number of Pages in This Submission

ENCLOSURES (Check all that apply)						
Amendme Af Af Extension Express A Informatio (IDS, 1 pg, Form	ter Final fidavits/declaration(s) of Time Request bandonment Request n Disclosure Statement s PTO/SB/08a/b, 6 pgs) Copy of Priority	Petition Petition to Convert to Provisional Application Power of Attorney, Re Change of Correspond Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table	ers a n evocation dence Address		Appea of App Appea Appea Proprio Status Other pelow)	nces (Refs. 10-44)
Incomplete	Alissing Parts/ e Application eply to Missing Parts der 37 CFR 1.52 or 1.53	TURE OF APPLICANT,	ATTORNEY, C	OR AGEN	NT	
T IIII T T AIRIC	Mendel Biotechno	ology, Inc.				
Signature	> (Free	1 CHZ				
Printed name	Jeffrey M. Libby					
Date	August 3, 2005		Reg. No.	48,25	51	
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/666,642	)
In re application of: JIANG, Cai-Zhong	)
Filed: Sept. 18, 2003	)
Art Unit: 1638	)
Examiner: BAUM, Stuart F.	)
Docket No. MBI-0054 US	)
Customer No. 47334	)

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

**SUBMISSION OF INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97(b)** 

Sir:

In compliance with the duty to disclose information pursuant to 37 CFR §1.56, please find enclosed an Information Disclosure Statement (Forms PTO/SB/08a and PTO/SB/08b) and forty-four (44) references for the above-referenced patent application. In accordance with 37 CFR § 1.97(g)-(h), filing of this Statement is not to be construed as a representation that a search has been made or an admission that the information cited herein is considered material to patentability as defined in 37 CFR §1.56(b).

Applicants believe that this Information Disclosure Statement has been filed within the time limits as set forth in 37 C.F.R. § 1.97(b)(3). If the Commissioner determines that an additional fee is due, the Commissioner is hereby authorized to charge Mendel Biotechnology, Inc. Deposit Account No. 50-1025. This form is enclosed in duplicate.

Respectfully submitted,

Date:

August 3, 2005

Jeffrey M. Libby, Ph.D.

Registration No. 48,251

Mendel Biotechnology, Inc. 21375 Cabot Blvd. Hayward, California 94545 Phone: (510) 259-6120 Fax: (510) 264-0254 AUG 0 5 2005

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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

Application Number 10/666,642

Filing Date Sept. 18, 2003

First Named Inventor JIANG, Cai-Zhong

Art Unit 1638

Examiner Name BAUM, Stuart F

Attorney Docket Number MBI-0054 US

(Use as many sheets as necessary)

Sheet 1 of 6

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (I known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US- 6,664,446	12-16-2003	HEARD et al.	SEQ ID NO:29,30,116
	2	<sup>US-</sup> 10/412,699	03-04-2004	ZHANG et al.	SEQ ID NO:805-808
	3	<sup>US-</sup> 10/278,536	07-10-2003	SAMAHA et al.	SEQ ID NO:226
	4	<sup>US-</sup> 10/714,887	unpublished	HEARD et al.	SEQ ID NO:5,6,29-32,129
					158,201-210,223-224
	5	US- 09/713,994	unpublished	KEDDIE et al.	SEQ ID NO:1639,1640
	6	US- 10/225,066	12-04-2003	RATCLIFFE et al.	SEQ ID NO: 1,2,
		US-			Table 4, Row 1
	7	US- 10/374,780	01-29-2004	SHERMAN et al.	SEQ ID NO:253-254,
		US-			1529-1535
	8	US- 5,994,622	11-30-1999	JOFUKU and OKAMURO	Col. 13, line 55-Col. 2
		US-			line 32,Col. 21-Col. 3
	9	US- 2002/0076775	06-20-2002	CRANE et al.	P. 7 [0088], p. 23
		US-			[0252] - p. 24 [0260],
	·	US-			p. 27 [0303] - p. 28
		US-			[0329], p. 29-63
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	FOREIGN PATENT DOCUMENTS					
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines,	
Initials* No.1	Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear		
	10	EP1406483 (WO0215675)	02-28-2002	PILGRIM et al.	SEQ ID NO:196-197	
	11	EP1485490 (WO03013227)	02-20-2003	RATCLIFFE et al.	SEQ ID NO:1,2, p.	
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	Substitute for form 1449A/PTO		Complete if Known		
				Application Number	10/666,642
	<b>INFORMATION DI</b>	SCLO	SURE	Filing Date	Sept. 18, 2003
	STATEMENT BY	APPLI	CANT	First Named Inventor	JIANG, Cai-Zhong
			,	Art Unit	1638
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She	eet2	of	6	Attorney Docket Number	MBI-0054 US

			U. S. PATENT I	DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines,		
Initials*	No.'	.* Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear			
*************************	12	WO04076638A2 (PCT/US04/005654)	09-10-2004	SHERMAN et al.	G1275: SEQ ID NO:		
					253-254,1529-1535		
					pg. 60 Ln 3,20		
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Substitute for form 1449B/PTO Complete if Known Application Number 10/666,642 INFORMATION DISCLOSURE Filing Date September 18, 2003 STATEMENT BY APPLICANT First Named Inventor JIANG, Cai-Zhong Art Unit 1638 (Use as many sheets as necessary) Examiner Name BAUM, Stuart F Sheet Attorney Docket Number MBI-0054 US 3 6

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	13	NCBI acc. no. NM_101262; Arabidopsis thaliana WRKY family transcription factor (Atlg13960) mRNA, complete cds.	
	14	Jofuku et al. (09.07.2000) NCBI Accession No. AR091882; Sequence 3 from patent US 5994622	
	15	Kushnir et al. (11.08.2001) NCBI Accession No. AF426252; Arabidopsis thaliana WRKY transcription factor 51 (WRKY51) mRNA, complete cds.	
	16	Kushnir et al. (12.27.2001) NCBI Accession no. AF452174; Arabidopsis thaliana WRKY transcription factor 75 (WRKY75) mRNA, complete cds.	***************************************
	17	Town et al. (01.10.2002) NCBI Accession no. NM_125877; Arabidopsis thaliana WRKY family transcription factor (At5g64810) mRNA, complete cds.	
	18	Ulker et al. (01.20.2002) NCBI Accession no. AY071847; Arabidopsis thaliana WRKY transcription factor 50 (WRKY50) mRNA,complete cds.	
	19	de Pater et al. (1996) Characterization of a zinc-dependent transcriptional activator from Arabidopsis. Nucleic Acids Res 24: 4624-4631	
	20	Chen et al. (2002) Expression profile matrix of Arabidopsis transcription factor genes suggests their putative functions in response to environmental stresses. Plant Cell 14: 559-574	***************************************
	21	Chen and Chen (2002) Potentiation of developmentally regulated plant defense response by AtWRKY18, a pathogen-induced Arabidopsis transcription factor. Plant Physiol 129: 706-716	
	22	Dellagi et al. (2000) A potato gene encoding a WRKY-like transcription factor is induced in interactions with Erwinia carotovora subsp. atroseptica Mol Plant Microbe Interact 13: 1092-1101	

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Substitute for form 1449B/PTO Complete if Known Application Number 10/666,642 **INFORMATION DISCLOSURE** Filing Date September 18, 2003 STATEMENT BY APPLICANT First Named Inventor JIANG, Cai-Zhong Art Unit 1638 (Use as many sheets as necessary) Examiner Name BAUM, Stuart F Sheet Attorney Docket Number MBI-0054 US 4 6

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	23	Du and Chen (2000) Identification of genes encoding receptor-like protein kinases as possible targets of pathogen- and salicylic acid-induced WRKY DNA-binding proteins in Arabidopsis. Plant J 24: 837-847	
	24	Eulgem et al. (1999) Early nuclear events in plant defence signalling: rapid gene activation by WRKY transcription factors.EMBO J 18: 4689-4699	
	25	Eulgem et al. (2000) The WRKY superfamily of plant transcription factors. Trends Plant Sci 5: 199-206	
	26	Ishiguro and Nakamura (1994) The DEFECTIVE IN ANTHER DEHISCIENCE gene encodes a novel phospholipase Al catalyzing the initial step of jasmonic acid biosynthesis Mol Gen Genet 244: 563-571	C 350001173784
	27	Johnson et al. (2002) TRANSPARENT TESTA GLABRA2, a trichome and seed coat development gene of Arabidopsis, encodes a WRKY transcription factor. Plant Cell 14, 1359-1375	
	28	Lebel et al. (1998) Functional analysis of regulatory sequences controlling PR-1 gene expression in Arabidopsis. Plant J 16: 223-233	
	29	Mare et al. (2004) Hv-WRKY38: a new transcription factor involved in cold- and drought-response in barley. Plant Mol Biol 55: 399-416	
	30	Pnueli et al. (2002) Molecular and biochemical mechanisms associated with dormancy and drought tolerance in the desert legume Retama raetam. Plant J 31: 319-330	***************************************
	31	Robatzek and Somssich (2002) Targets of AtWRKY6 regulation during plant senescence and pathogen defense. Genes Dev 16: 1139-1149	
	32	Sun et al. (2003). A novel WRKY transcription factor, SUSIBA2, participates in sugar signaling in barley by binding to the sugar-responsive elements of the isol promoter. Plant Cell 15, 2076-2092	

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Signature		Considered	

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INFOR	MATION [	DISCLO	SURE	Filing Date	September 18, 2003	
STATEMENT BY APPLICANT			CANT	First Named Inventor	JIANG, Cai-Zhong	
				Art Unit	1638	
(Use as many sheets as necessary)			)	Examiner Name	BAUM, Stuart F	
Sheet	5	of	6	Attorney Docket Number	MBI-0054 US	

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	33	Rushton et al. (1996) Interaction of elicitor-induced DNA-binding proteins with elicitor response elements in the promoters of parsley PR1 genes. EMBO J 15: 5690-5700				
	34	Tepperman et al. (2001) Multiple transcription-factor genes are early targets of phytochrome A signaling. Proc Natl Acad Sci U S A 98: 9437-944				
	35	Wang et al. (1998) An oligo selection procedure for identification of sequence-specific DNA-binding activities associated with the plant defence response.Plant J 16: 515-522				
	36	Yamasaki et al. (2005) Solution Structure of an Arabidopsis WRKY DNA Binding Domain. Plant Cell 17: 944-956				
	37	Yang et al. (1999) A pathogen- and salicylic acid-induced WRKY DNA-binding activity recognizes the elicitor response element of tobacco class I chitinase gene promoter.Plant Journal 18: 141-149				
	38	Yu et al. (2001) Evidence for an important role of WRKY DNA binding proteins in the regulation of NPR1 gene expression. Plant Cell 13: 1527-1540				
	39	Zhang and Wang (2005) The WRKY transcription factor superfamily: its origin in eukaryotes and expansion in plants. BMC Evol Biol 5: 1				
	40	Zou et al. (2004) A WRKY gene from creosote bush encodes an activator of the abscisic acid signaling pathway. J Biol Chem 279: 55770-55779				
	41	Rushton et al. (2002). Synthetic Plant Promoters Containing Defined Regulatory Elements Provide Novel Insights into Pathogen- and Wound-Induced Signaling. Plant Cell 14, 749-762.				
	42	Kalde et al. (2003). Members of the Arabidopsis WRKY Group III Transcription Factors Are Part of Different Plant Defense Signaling Pathways. Molecular Plant-Microbe Interactions 16, 295-305.				

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Examiner	Date	
Signature	Considered	

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Sheet		of	6	Attorney Docket Number	MBI-0054 US	

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	43	Li et al. (2004) The WRKY70 transcription factor: a node of convergence for jasmonate-mediated and salicylate-mediated signals in plant defense. Plant Cell 16, 319-331				
	44	Robatzek and Somssich (2001) A new member of the Arabidopsis WRKY transcription factor family, AtWRKY6, is associated with both senescence- and defence-related processes. Plant J 28, 123-133				
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